

Practitioner's Docket No. 2815/105 **PATENT**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Johnson et al.

Atty Dkt: 2815/105

Serial No: 10/705,571

Art Unit: 2664

Date Filed: November 10, 2003

Examiner: W. Chin

Invention: Dial Up Telephone Conferencing System
Controlled by an Online Computer Network

Date: February 10 2006

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

NOTE: "An information disclosure statement shall be considered by the Office if filed by the applicant:

(1) Within three months of the filing date of a national application;

(2) Within three months of the date of entry of the national stage as set forth in section 1.491 in an international

(Supplemental Information Disclosure Statement--page 1 of 10)

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application; or

(3) Before the mailing date of a first Office action on the merits, whichever event occurs last." 37 C.F.R. section 1.97(b).

NOTE: "Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section." 37 C.F.R. section 1.56(a).

"Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:

- (1) each inventor named in the application;
- (2) each attorney or agent who prepares or prosecutes the application; and
- (3) every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application." 37 C.F.R. section 1.56(c).

NOTE: The "duty as described in section 1.56 will be met so long as the information in question was cited by the Office or submitted to the Office in the manner prescribed by sections 1.97(b) - (d) and 1.98 before issuance of the patent." Notice of January 9, 1992, 1135 O.G. 13-25 at 17.

WARNING: "No information disclosure statement may be filed in a provisional application." 37 C.F.R. section 1.51(b).

List of Sections Forming Part of This Information Disclosure Statement

The following sections are being submitted for this Information Disclosure Statement:

(check sections forming a part of this statement: discard unused sections and number pages consecutively)

1. ☒ Preliminary Statements
2. ☒ Forms PTO/SB/08A and 08B (substitute for Form PTO-1449)
3. ☐ Statement as to Information Not Found in Patents or Publications
4. ☐ Identification of Prior Application in Which Listed Information Was Already Cited and for Which No Copies Are Submitted or Need Be Submitted
5. ☐ Cumulative Patents or Publications
6. ☒ Copies of Listed Information Items Accompanying This Statement
7. ☐ Concise Explanation of Non-English Language Listed Information Items
 - 7A. ☐ EPO Search Report
 - 7B. ☐ English Language Version of EPO Search Report
8. ☐ Translation(s) of Non-English Language Documents
9. ☐ Concise Explanation of English Language Listed Information Items (Optional)
10. ☒ Identification of Person(s) Making This Information Disclosure Statement

(complete the following, if appropriate)

Sections _____, respectively, have been continued on ADDED PAGE(S).

NOTE: "Once the minimum requirements are met, the examiner has an obligation to consider the information." Notice of April 20, 1992 (1138 O.G. 37-41, 37).

Section 1. Preliminary statements

Applicants submit herewith patents, publications or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. section 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.



SECTION 2. FORMS PTO/SB/08A and 08B (formerly Form PTO-1449)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Johnson et al. Attorney Docket: 2815/105
 Serial No: 10/705,571 Art Group Unit: 2664
 Date Filed: November 10, 2003 Examiner Name: W. Chin
 Invention: Dial Up Telephone Conferencing System Controlled by an Online Computer Network

**LIST OF PATENTS AND PUBLICATIONS FOR
 APPLICANT'S INFORMATION DISCLOSURE STATEMENT**

U.S. PATENT DOCUMENTS					
Examiner Initials	Reference Number	Document Number	Issue Date	Inventor	Class/Subclass
	CH	4,796,293	Jan. 3, 1989	Blinken et al.	379/202
	CI	4,945,219	Jul. 31, 1990	Tanaka	235/488
	CJ	4,965,788	Oct. 23, 1990	Newman	370/60
	CK	5,222,085	Jun. 22, 1993	Newman	370/60
	CL	5,245,603	Sep. 14, 1993	Newman	370/54
	CM	5,367,518	Nov. 22, 1994	Newman	370/54
	CN	5,396,491	Mar. 7, 1995	Newman	370/60
	CO	5,483,588	Jan. 9, 1996	Eaton et al.	379/202
	CP	5,506,832	Apr. 9, 1996	Arshi et al.	370/13
	CQ	5,515,296	May 7, 1996	Agarwal	364/514
	CR	5,526,353	Jun. 11, 1996	Henley et al.	370-60
	CS	5,533,110	Jul. 2, 1996	Pinard et al.	379/201
	CT	5,668,863	Sep. 16, 1997	Bieselin et al.	379/202
	CU	5,883,964	Mar. 16, 1999	Alleman	379/205
	CV	5,949,762	Sep. 7, 1999	Green et al.	370/259
	CW	5,987,103	Nov. 16, 1999	Martino	379/93.17
	CX	5,995,605	Nov. 30, 1999	Madoch et al.	379/201
	CY	6,081,591	Jun. 27, 2000	Skoog	379/230
	CZ	6,091,808	Jul. 18, 2000	Wood et al.	379/201
	DA	6,134,235	Oct. 17, 2000	Goldman et al.	370/352
	DB	6,144,670	Nov. 7, 2000	Sponaugle et al.	370/401
	DC	6,172,675	Jan. 9, 2001	Ahmad et al.	345/328
	DD	6,181,786	Jan. 30, 2001	Detampel, Jr. et al.	379/205
	DE	6,226,286	May 1, 2001	Danne et al.	370/352
	DF	6,282,193	Aug. 28, 2001	Hluchyj et al.	370/356
	DG	6,330,321	Dec. 11, 2001	Detampel, Jr. et al.	379/205.1
	DH	6,377,576	Apr. 23, 2002	Zwick et al.	379/389
	DI	6,381,238	Apr. 30, 2002	Hluchyj	379/352

	DJ	6,418,214	Jul. 9, 2002	Smythe et al.	379/202.01
	DK	6,449,344	Sep. 10, 2002	Goldfinger et al.	379/88.17
	DL	6,459,449	Oct. 1, 2002	Juen	348/223
	DM	6,501,740	Dec. 31, 2002	Sun et al.	370/261
U.S. PATENT PUBLICATION DOCUMENTS					
Examiner Initials	Reference Number	Document Number	Publication Date	Inventor	Class/Subclass
	DN	US 2003/0193933	Oct. 16, 2003	Jonas et al.	370/352

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Reference Number	Country Code	Document Number	Publication Date	Patentee or Applicant	Class/Subclass
	DO	CA	2216035	3/17/1997	Udall et al.	
	DP	EP	07165333	10/27/1999	Katsuya et al.	
	DQ	EP	0964567	12/15/1999	Lee	
	DR	EP	0969687	1/5/2000	Civanlar	
	DS	WO	99/41890	08/19/1999	Kikinis	

OTHER DOCUMENTS			
Examiner Initials	Reference Number	Author	Title of Article, Title of Journal, Volume Number, Page Numbers, Date
	DT	Schulzrinne	" <i>Signaling for Internet Telephony Services</i> ", OPENSIG Fall '96 Workshop, Columbia University, pp. 1-13, October 1996.
	DU	Newton	" <i>Computer Telephony Goes Interoperable</i> ", Computer Telephony, pp. 14-20, March 1995.
	DV	Grigonis	" <i>The Origin of Lan Call-Control Apis</i> ", Computer Telephony, pp. 102-103, April 1995.
	DW		" <i>Telephony Services 2.1</i> ", Computer Telephony, pp. 34, 39-41, August 1995.
	DX	Ahuja et al.	" <i>Coordination and Control of Multimedia Conferencing</i> ", IEEE Communications Magazine, pp. 38-43, May 1992.
	DY	Simeonov et al.	" <i>@INGate: A Distributed Intelligent Network Approach to Bridge Switching and Packet Networks</i> ", Proceedings of the International Conference on Computer Communications and Networks, pp. 358-363, Sept. 1997.
	DZ	Cronin	" <i>An Introduction to TSAPI and Network Telephony</i> ", IEEE Communications Magazine, pp. 48-54, April 1996.

	EA		Defendant Comcast Corporation's Preliminary Invalidity Contentions in <i>Caritas Technologies, Inc. v. Comcast Corporation</i> , 1/12/06, U.S. District Court for the Eastern District of Texas Marshall Division, 2-05CV-339DF.
	EB		" <i>Sun XTL 1.1 Administrator's Guide</i> ", Sun Microsystems XTL Computer-Telephony System, December 1995.
	EC		" <i>Sun XTL 1.1 Application Programmer's Guide</i> ", Sun Microsystems XTL Computer-Telephony System, December 1995.
	ED		" <i>Sun XTL 1.1 Architecture Guide</i> ", Sun Microsystems XTL Computer-Telephony System, December 1995.
	EE		" <i>Sun XTL 1.1 Provider Programmer's Guide</i> ", Sun Microsystems XTL Computer-Telephony System, December 1995.
	EF		" <i>Sun XTL 1.1 Remote Client Manager Guide</i> ", Sun Microsystems XTL Computer-Telephony System, December 1995.
	EG	Nixon	" <i>Windows Telephony (TAPI) Support in NT 4.0</i> ", Windows Telephony, Microsoft Corporation, pp. 1-6, June 1996.
	EH		" <i>lineMakeCall</i> ", Platform SDK: Telephony Application Programming Interfaces, pp. 1-24.
	EI		" <i>TAPI Remote Service Provider</i> " Version 2.1 of the Microsoft TAPI Software Development Kit, June 1997.
	EJ		DEC CIT Applications Interface for VMS Programming Reference, Version 3.0 Manual Set, October 1991.
	EK		DEC CIT Applications Interface for VMS Programming, Version 3.0 Manual Set, October 1991.
	EL	Strathmeyer	" <i>An Introduction to Computer Telephony</i> ", IEEE Communications Magazine, pp. 101-111, May 1996.
	EM		" <i>Request for Proposals for a Telecommunications Delivery System over a Hybrid Fiber/Coax (1-IFC) Architecture</i> ", PacketCable, July 1994.
	EN		" <i>PacketCable Gatekeeper Technology Request for Information</i> ", December 30, 1997.
	EO	Greene	" <i>Will voice over IP turn the telephony mart on its ear?</i> " Network World, Inc., Aug. 19, 1996.
	EP		" <i>IDT Announces Plans to Release Phone-to-Phone Technology Via the Internet</i> ", net2phone October 1, 1996 Press Release,
	EQ		" <i>IDT Unveils Revolutionary Telecommunications Technology</i> ", net2phone July 16, 1996 Press Release.

	ER		"PC to Phone", net2phone Diagrams.
	ES		"Phone to Phone", net2phone Diagrams.
	ET		"MultiMedia Communication eXchange System Administrator's Guide and Technical Reference Manual", Lucent Technologies, Bell Labs Innovations, July 1997.
	EU		"DEFINITY® Enterprise Communications Server Release 5 CallVisor PC ASAI Installation and Reference", Lucent Technologies, Bell Labs Innovations, October 1996.
	EV		"DEFINITY Communications System Generic 3v4 Call Visor ASAI Technical Reference", AT&T, Sept. 1995.
	EW		"DEFINITY Communications System Generic 3 Installation, Administration, and Maintenance of CallVisor ASAI over the DEFINITY LAN Gateway", AT&T, Jan. 1996.
	EX		"PacketCable™ 1.0 Architecture Framework Technical Report", PKT-TR-ARCH-V01-991201, December 1999.
	EY		"PacketCable™ PSTN Gateway Call Signaling Protocol Specification", PKT-SP-TGCP-101-991201, December 1999.
	EZ		"PacketCable™ Internet Signaling Transport Protocol (ISTP) Specification", PKT-SP-ISTP-101-991201, December 1999.
	FA		"PacketCable™ Network-Based Call Signaling Protocol Specification", PKT-SP-EC-MGCP-102-991201, December 1999.
	FB		Software Product Description, DEC Computer Integrated Telephony for MS Windows, Version I.OA, DEC doc # SPD 43.54.01, April 1993.
	FC		Software Product Description, DEC Computer Integrated Telephony Server 100/500, Version I.OA, DEC doc # SPD 36.33.02, April 1993.
	FD		"Services for Computer Supported Telecommunications (CSTA) Phase I", Standard ECMA-179, June 1992.
	FE		"Protocol for Computer Supported Telecommunications (CSTA) Phase I", Standard ECMA- 180, June 1992.
	FF	Schmandt et al.	"An Audio and Telephone Server for. Multimedia Workstations, IEEE Conference On Computer Workstations, pp. 150-160, 1988.
	FG	Wong	"Personal Communications", MIT June 1991.

	FH	Redman	"A User Programmable Telephone Switch", Bell Communications Research, September 12, 1988.
	FI	Margulies	"Client Server Computer Telephony", Flatiron Publishing, 1994.
	FJ	Margulies	"Client Server Computer Telephony (Second Edition", Flatiron Publishing, 1997.
	FK	Tak et al.	"Design and Implementation of Internetworking System between ATM and PSTN", International Conference on Information, Communications and Signal Processing, pp. 776-780, 1997.
	FL		"Generations™ System Operation Guide", Voicetek Corporation, 1995.
	FM		"VocalTec's Telephony Gateway - The Ultimate Internet Telephony Solution?", Computer Telephony, pp. 30-35, September 1996.
	FN	Bisdikian, et al.	"MultiMedia Digital Conferencing: A Web-enabled Multimedia Teleconferencing System", IBM Journal of Research and Development, Vol. 42. No. 2, pp. 281-298, March 1988.
	FO		"Visual Telephone Systems and Equipment for Local Area Networks which Provide a Non-guaranteed Quality of Service", Draft ITU-T Recommendation H.323, May 28, 1996.
	FP	Arango et al.	"Simple Gateway Control Protocol (SGCP)", The Simple Gateway Control Protocol, version 1.0, May 5, 1998.
	FQ	Arango et al.	"The Media Gateway Control Protocol", Version 1.0, Network Working Group, October 1999.
	FR	Krapf	"Cisco Adds Voice to Remote Access Routers", Business Communications Review, p. 60, December 1997.

Examiner Signature: _____

Date Considered: _____

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation *if not* in conformance and not considered. Include copy of this form with next communication to applicant.

Section 6. Copies of Listed Information Items Accompanying This Statement

NOTE: 37 C.F.R. section 1.98(a)(2) requires that any information disclosure statement filed under section 1.97 shall include: "A legible copy of: (i) Each U.S. and foreign patent; (ii) Each publication or that portion which caused it to be listed; and (iii) All other information or that portion which caused it to be listed, except that no copy of a U.S. patent application need be included . . ."

NOTE: The wording in section 1.98(a)(2)(iii) makes it clear that the requirement to submit a copy of each item of information listed in an information disclosure statement does not apply to the citation of a U.S. patent application. Notice of January 9, 1992, 1135 O.G. 13-25, at 14.

Legible copies of all items listed in Forms PTO/SB/08A and 08B (substitute for Form PTO-1449) accompany this information statement.

(complete the following, if applicable)

☒ Exception(s) to above:

U.S. patent citations are not included pursuant to the United State Patent and Trademarks Office's September 21, 2004 waiver of the copy requirement in 37 CFR 1.98 for cited pending U.S. patent citations when the patent citations are available in the USPTO's IFW system.

☐ Items in prior application, from which an earlier filing date is claimed for this application, as identified in Section 4.

☐ Cumulative patents or publications identified in Section 5.

Section 10. Identification of Person(s) Making This Information Disclosure Statement

The person making this certification is

(check each applicable item)

- (a) ☐ the inventor(s) who signs below

SIGNATURE OF INVENTOR

(type name of inventor who is signing)

- (b) ☐ an individual associated with the filing and prosecution of this application (37 C.F.R. section 1.56(c))

SIGNATURE OF INVENTOR

(type name of inventor who is signing)


- (c) ☒ the practitioner who signs below on the basis of the information:

(check each applicable item)

☐ supplied by the inventor(s).

☐ supplied by an individual associated with the filing and prosecution of this application. (37 C.F.R. section 1.56(c)).

☒ in the practitioner's file.



SIGNATURE OF PRACTITIONER

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02815/00105 464521.1

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